

Summary of 2014-15 Internal Audit Service work final position at 29 May 2015

Appendix 1

<u>Department</u>	<u>Job</u>	<u>Final report (or position @29/5)</u>	<u>Opinion</u>	<u>HI Rec</u>
Adults & Communities	A&C Lunch Club	31-Mar-15	Investigation	No
Adults & Communities	Implementing the Care Bill	15-May-15	Substantial Assurance	No
Adults & Communities	Mandatory Reporting Requirements	Draft issued	Substantial Assurance	No
Adults & Communities	Debt Collection Changes (PwC)	Draft pending	TBC	No
Adults & Communities	Debt Write Off - validation of circumstances	Draft pending	TBC	No
Chief Executives	Broadband UK	Ongoing	Consulting	No
Chief Executives	LEADER Programme	Ongoing	Consulting	No
Chief Executives	VAL Contract	11-Mar-15	Substantial Assurance	No
Chief Executives	Better Care Fund incl role of Health & Wellbeing Board - phase 2 pooled budget	Draft pending	TBC	No
Chief Executives	Equality & Human Rights Impact Assessments	Draft pending	TBC	No
Children & Family Services	Pooled Budget Accounting Arrangements	31-Mar-15	Substantial Assurance	No
Children & Family Services	Integrated SEN planning	22-Apr-15	Substantial Assurance	No
Children & Family Services	SSIS Decommissioning CYPS Homecare	24-Apr-15	Substantial Assurance	No
Children & Family Services	Realisation of Benefits	Draft issued	Substantial Assurance	No
Children & Family Services	School Allocations	Draft pending	TBC	No
Consolidated Risk	Research - Transformation Programme - assurance provision	31-Mar-15	Consulting	No
Consolidated Risk	Assurance Framework	Ongoing	Consulting	No
Consolidated Risk	emPSN	Ongoing	Consulting	No
Consolidated Risk	Property Asset Management System - Governance	Ongoing	Consulting	No
Consolidated Risk	National Fraud Initiative	01-Apr-15	N/A	No
Consolidated Risk	Strategic Plan for Leicestershire	10-Feb-15	Substantial Assurance	No
Consolidated Risk	PSN Accreditation	31-Mar-15	Substantial Assurance	No
Consolidated Risk	IT General Controls - External Auditor Reliance	27-May-15	Substantial Assurance	No
Consolidated Risk	Customer Services	28-May-15	Substantial Assurance	No
Consolidated Risk	Imprest Accounts	Draft issued	Substantial Assurance	No
Consolidated Risk	Faster Payments System	Draft pending	TBC	No

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Consolidated Risk	ICT External Hosting & Associated Contractual Obligations	Draft pending	TBC	No
Consolidated Risk	Internet Expense Scanning	Draft pending	TBC	No
Consolidated Risk	Oracle - Self Serve applications	Draft pending	TBC	No
Corporate Resources	Exchange Server Upgrade	31-Mar-15	Consulting	No
Corporate Resources	ICT Policies and Procedures	31-Mar-15	Consulting	No
Corporate Resources	ISRA - Assessment of Risk and Audit Involvement	31-Mar-15	Consulting	No
Corporate Resources	Review of Information Security Risk Assessment Process	31-Mar-15	Consulting	No
Corporate Resources	Property Asset Management System - To be processes	Ongoing	Consulting	No
Corporate Resources	Resiliency Position Statement at Commencement of 14/15	Ongoing	Consulting	No
Corporate Resources	Contract Dashboard	Draft issued	Partial Assurance	Yes
Corporate Resources	ISRA - Oneview BP	17-Feb-15	Substantial Assurance	No
Corporate Resources	ISRA - Learning Management System	05-Mar-15	Substantial Assurance	No
Corporate Resources	Disaster Recovery - ICT	10-Mar-15	Substantial Assurance	No
Corporate Resources	Disaster Recovery - ICT	10-Mar-15	Substantial Assurance	No
Corporate Resources	ISRA - ClickMeeting Webinare Service	21-Oct-15	Substantial Assurance	No
Corporate Resources	Beaumanor - Income Generation	Draft pending	TBC	No
Corporate Resources	Business Continuity - ICT	Draft pending	TBC	No
Corporate Resources	Expense Claims	Draft pending	TBC	No
Corporate Resources	Information Sharing - Framework Design & Governance	Draft pending	TBC	No
Corporate Resources	Strike Pay Deduction Procedures	Draft pending	TBC	No
Environment & Transport	Local Sustainable Transport Grant	20-Mar-15	Grant work	No
Environment & Transport	Procurement Issues	31-Mar-15	Investigation	No
Environment & Transport	RAS - review of processes for labout,plant & materials	16-Mar-15	Partial Assurance	No
Environment & Transport	SEN Transport – Assessment Process	Draft issued	Partial Assurance	Yes
Environment & Transport	Contract Monitoring	27-Feb-15	Substantial Assurance	No
Environment & Transport	Notice Processing Unit	07-May-15	Substantial Assurance	No
Environment & Transport	Re-cycling Performance	11-May-15	Substantial Assurance	No

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Environment & Transport	Impact of local developments on Transport Network	21-May-15	Substantial Assurance	No
Public Health	Clinical Governance Framework	Ongoing	Consulting	No
Adults & Communities	Homecare - Provider Rate Check	31-Mar-15	Provide resource	No
Adults & Communities	Homecare Error Clearance	31-Mar-15	Provide resource	No
Adults & Communities	Homecare Payments Assistance	31-Mar-15	Provide resource	No
Adults & Communities	Homecare Service User Payments	31-Mar-15	Provide resource	No
Adults & Communities	Homecare Timesheet Input	31-Mar-15	Provide resource	No
Consolidated Risk	iProc Responsibility 25	31-Mar-16	Provide resource	No
Consolidated Risk	Clearing of 2000 prior year orders – Part 1	31-Mar-15	Provide resource	No
Consolidated Risk	Contacting Managers re 800 invoices on hold – Part 2	31-Mar-15	Provide resource	No
Consolidated Risk	ESPO Catalogue Purchases	31-Mar-15	Provide resource	No
Consolidated Risk	Invoices on hold	31-Mar-15	Provide resource	No
Consolidated Risk	IProc pre-payments	31-Mar-15	Provide resource	No